

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00025-01

10/25/01
S. 10/26/01
10971
10/25/01

In Re Application Of:

Thomas P. Sassi

Serial No. Not Yet Known	Filing Date Herewith	Examiner Not Yet Known	Group Art Unit Not Yet Known
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Title:

**POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS
BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
 - OR**
 - the fee set forth in 37 CFR 1.17(p).

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BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

10/25/01
JC971 U.S. PTO
10/08/2001
10/25/01

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No.03-4083 as described below. A duplicate copy of this sheet is enclosed.

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Charge any additional fee required.

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*This certificate may only be used if paying by deposit account.

James A. Jubinsky
Signature

James A. Jubinsky
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Dated: 10/25/01

LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO.	APPLICATION NO.
		318-348	To be assigned
		APPLICANT	
		SASSI et al.	
FILING DATE	GROUP		
Concurrently Herewith	To be assigned		

10/25/01
10/087266
10/08713971
PRO

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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AB	3,309,220	03/1967	Osteen			
AC	3,487,505	01/1970	Chisholm et al.			
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AG	4,021,432	05/1977	Holt et al.	260	293.64	
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AN	4,325,863	04/1982	Hinsken et al.	624	111	
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							YES	NO
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	BR	309,401	03/1989	Europe				
	BS	309,402	03/1989	Europe				
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CD	Duong, T. et al., "Central Nervous System Active Compounds. I The Synthesis of Some Caprolactam Derivatives Substituted at N1, C2 and C3," <i>Aust. J. Chem.</i> 29:2651-2665, 1976.

	CE	Effenberger, F. et al., "Darstellung von Aminosäuren aus Halogencarbonsäure-alkyl-estern mit Alkalimetallcyanaten," <i>Chem Ber.</i> 114:173-189, 1981.
	CF	Iwakura, Y. et al., "The Syntheses and Some Reactions of ω -Isocyanatoalkanecarboxylic Acid Chlorides and Isocyanatobenzoyl Chlorides," <i>J. Org. Chem.</i> 31:142-146. 1966.
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	CH	Ullmann's Encyclopedia of Industrial Chemistry, 5 th Edition, Vol. A18, pp. 368-426. VCH, Weinheim, 1991.
	CI	Ullmann's Encyclopedia of Industrial Chemistry, 5 th Edition, Vol. A18, pp. 429-471. VCH, Weinheim, 1991.
	CJ	Ullmann's Encyclopedia of Industrial Chemistry, 5 th Edition, Vol. A18, pp. 451-453.
	CK	Ullmann's Encyclopedia of Industrial Chemistry, 5 th Edition, Vol. A18, p. 469, VCH Verlagsgesellschaft, Weinheim, 1991.
	CL	Ullmann's Encyclopedia of Industrial Chemistry, 5 th Edition, Vol. A18, pp. 491-500.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.